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PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

APPLICANT: MAURICE BAKER

ART UNIT:

SERIAL NO.: 10/534,555

EXAMINER:

FILED: CONCURRENTLY HEREWITH

P.C.T. APPLICATION NO.: PCT/AU2003/001355

P.C.T. INTERNATIONAL FILING DATE: OCTOBER 14, 2003

EARLIEST PRIORITY CLAIM: NOVEMBER 18, 2002

TITLE: MOBILE PALLET WITH VARIOUS LOCKING MEANS

INFORMATION DISCLOSURE STATEMENT

Hon. Commissioner for Patents  
United States Patent and Trademark Office  
Mail Stop PCT  
P. O. Box 1450  
Alexandria, Virginia 22313-1450

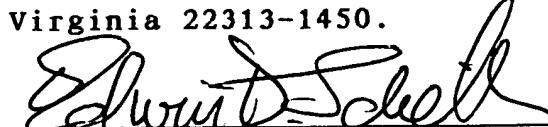
Dear Sir:

In accordance with 37 C.F.R. §1.56, Applicant wishes to call the attention of the Examiner to the following references:

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"Express Mail" mailing label number ED 872814457 US  
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I hereby certify that this paper is being deposited with the U.S. Postal Service "Express Mail - Post Office to Addressee" service under 37 C.F.R. §1.10 on the date indicated above and is addressed to: Hon. Commissioner for Patents, United States Patent and Trademark Office, P. O. Box 1450, Alexandria, Virginia 22313-1450.



Edwin D. Schindler, Reg. No. 31,459

June 27, 2005

Date

<u>U.S. Patent No.</u>	<u>Inventor</u>	<u>Issue Date</u>
4,976,365	Dong J. Seo	December 11, 1990
<u>Document No.</u>	<u>Country/P.C.T.</u>	<u>Published/Issued</u>
DE 32 46 347 A1	Fed. Rep. Germany	June 20, 1984
WO 02/090199 A1	PCT/Int'l Bureau (WIPO)	November 14, 2002

A copy of each of the references is submitted herewith along with Form PTO-1449.

The enclosed references were cited in the International Search Report of Applicant's corresponding international application, No. PCT/AU2003/01355, filed under the provisions of the Patent Cooperation Treaty (a copy of the International Search Report was filed with the U.S. Patent and Trademark Office on May 10, 2005, or otherwise known to Applicant).

P.C.T. Publication No. WO 02/090199 A1, published November 14, 2002, is an earlier published P.C.T. application of the instant Applicant. The present U.S. patent application is the U.S. National Phase of PCT. Application No. PCT/AU2003/-001355, filed October 14, 2003, less than one year subsequent to the publication of P.C.T. Publication No. WO 02/090199 A1, and, consequently, the P.C.T. publication cited in the International Search Report for PCT Application No. PCT/AU2003/-001355 is not citable under 35 U.S.C. §102(b) or any other provision of U.S. patent law. Inclusion of P.C.T. Publication No. WO 02/090199 A1 is solely for informational purpose

only on the ground that this citation was included in the International Search Report, though not "prior" art under U.S. patent law.

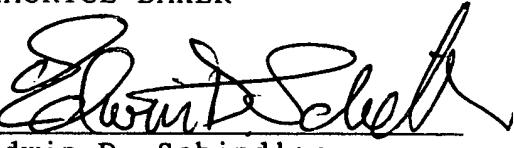
The accompanying references relate generally to pallet systems, etc., generally known to the prior art.

These citations do not constitute an admission by Applicant that the references are relevant or material to the patentability of the claims.

Inasmuch as the present Information Disclosure Statement is being filed prior to issuance of a first Office Action on the merits, no fee for its submission is submitted to be due.

Respectfully submitted,

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**Substitute for form 1449/PTO**

## **INFORMATION DISCLOSURE STATEMENT BY APPLICANT**

(Use as many sheets as necessary)

Sheet 1 of 1

**Complete if Known**

Application Number	10/534,555
Filing Date	PCT Filed: 10/14/06
First Named Inventor	Maurice Baker
Art Unit	
Examiner Name	

## **FOREIGN PATENT DOCUMENTS**

Examiner Initials*	Cite No. <sup>1</sup>	Foreign Patent Document	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)				
		DE 32 46 347 A1	06-20-1984	Planmarine AG		✓
		WO 02/090199 A1	11-14-2002	Maurice Baker	NOT "Prior" Art	

<b>Examiner Signature</b>		<b>Date Considered</b>	
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<sup>1</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant. <sup>2</sup>Applicant's unique citation designation number (optional). <sup>3</sup>See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 601.04. <sup>4</sup>Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup>For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup>Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>7</sup>Applicant is to place a check mark here if English language Translation is attached.

This collection of information is required by 37 CFR 1.97 and 1.88. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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